

AR Part C

FFY2013 State Performance Plan / Annual Performance Report

Introduction to the State Performance Plan (SPP)/Annual Performance Report (APR)

General Supervision System:

The systems that are in place to ensure that IDEA Part C requirements are met, e.g., monitoring systems, dispute resolution systems.

Arkansas General Supervision System includes policies and procedures that are aligned with Part C requirements to ensure that Early Intervention Service (EIS) programs are meeting federal and state requirements. First Connections has a monitoring unit to oversee local program compliance with policies and procedures as stipulated in the First Connections Monitoring Manual. Monitoring staff use the Comprehensive Data System (CDS) to review child records to assure compliance with timelines and other requirements. All EIS providers receive an onsite review on a three year monitoring cycle, however, programs who have continuing difficulties may receive on site visits at any time. Monitoring data described in the APR were acquired through the CDS and from desk audits and interviews with local program staff as needed.

For many years, First Connections, the Arkansas Part C program included children who received services in center based programs designed to treat children with disabilities known as Developmental Day Treatment Centers (DDTCS). These centers pre-dated Part H and are not, by definition, considered natural environments. In order to address this matter in July of 2013, Arkansas Part C underwent a massive reorganization process that enabled the Developmental Day Treatment Center Services (DDTCS) to cease providing services under Part C. The DDTCS programs were offered an option to remain as Part C providers with the intent to develop a set of supports for children and families in the natural environment. However, families who received services from programs who opted out of Part C were given choices to remain with the Part C program or continue services with the DDTCS. A parent notice and option form was developed by the Lead Agency to inform families of the implications of the change. Service coordinators discussed the process with each parent, informed them of their rights and ensured that services were not interrupted for any children.

Subsequent to the reorganization, Lead agency staff has worked in collaboration with a task force of providers to develop resources to support programs to deliver services and to provide supports to families. Also, clinic service providers were given additional resources and intensive technical assistance to ensure that infants and toddlers receive services in the natural environment. The reorganization process allows First Connections to provide services in the most effective settings and assist parents with improving their child's growth and development.

Data for Indicators 5, 6 and 8 b and 8C reflect the effects of the reorganization. Actual performance for indicators 5 and 6 are very close to targets however, represent slippage year's report. The effects of the reorganization process will be shown in the state's percentage of infants and toddlers serviced, until the benefits of the improvement activities are felt.

For indicator 8b and 8c, the data are reflective of a number of children who were withdrawn from Part C but remained in services through the DDTCS programs.

Technical Assistance System:

The mechanisms that the State has in place to ensure the timely delivery of high quality, evidenced based technical assistance and support to early intervention service (EIS) programs.

First Connections staff from multiple units, i.e. Monitoring, Training, Data, and Prior Authorization collaborate to provide ongoing Technical Assistance to local EI providers and state service coordinators. Staff from respective units review data on an ongoing basis to identify strengths and weakness assist in developing appropriate plans of action and assigning followup. They also research the philosophy and guiding principles of Early Intervention and provide clarification for best practices. Technical assistance is provided through various mechanisms, including written policy briefs or clarifications, conference calls and webinars, provider meetings or onsite assistance. The program also provides TA in response to questions and requests for assistance as needed.

Arkansas First Connections continues to maintain effective partnerships in the state to increase the capacity of the delivery of supports and services to infants and toddlers birth to three. During the reporting cycle Early Childhood Technical Assistance Center (ECTA Center) and the Southeast Regional Resource Center (SERRC) continued to provide the Part C program with valuable technical assistance and resources. Lead Agency staff takes part in webinars conference calls and other professional

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development opportunities made available through OSEP and National Technical Assistance centers. Part C Administrators attended national conferences and other program related meetings to ensure appropriate agency management.

Professional Development System:

The mechanisms the State has in place to ensure that service providers are effectively providing services that improve results for infants and toddlers with disabilities and their families.

The Arkansas Professional Development System consists of many interrelated components that include, formal assessment of program needs, face to face training, webinars, onsite coaching, technical assistance, evaluation of presentations and follow-up. Professional Development team members research specialized topics to designed relevant training materials. The information and materials include items such as power-points, checklists, guides, memos and policy briefs. Examples of training topics included: Developing functional IFSP outcomes, completing IFSPs, measuring child and family outcomes, family and child assessment, and data system requirements.

Stakeholder Involvement:

The mechanism for soliciting broad stakeholder input on targets in the SPP, including revisions to targets.

Throughout the 2013-2014 reporting period, Arkansas' Part C program has collaborated with various stakeholders within the state, such as: Arkansas Medicaid, Arkansas Head Start Association, Arkansas Department of Education, Arkansas Department of Health, Arkansas School for the Deaf, local Early Intervention Providers, the Division of Child Care and Early Childhood Education, Division of Children and Family Services, Zero to Three, Arkansas Disability Coalition and Division of Developmental Disabilities Services Administrative Personnel.

The Arkansas Interagency Coordinating Council (ICC) serves as the primary stakeholder group that provided ongoing support and guidance into the development of the State Performance Plan/Annual Performance Report (SPP/APR). Part C program information and updates are provided regularly via email and routinely at each ICC meeting. The ICC has provided guidance on the SIPP, SPP/APR targets, data requirements, local Early Intervention Service Program status, training activities and improvements.

Reporting to the Public:

How and where the State reported to the public on the FFY 2012 performance of each EIS Program or Provider located in the State on the targets in the SPP/APR as soon as practicable, but no later than 120 days following the State's submission of its FFY 2012 APR, as required by 34 CFR §300.602(b)(1)(i)(A); and a description of where, on its Web site, a complete copy of the State's SPP, including any revision if the State has revised the SPP that it submitted with its FFY 2012 APR in 2014, is available.

Arkansas Part C SPP/APR can be founded on the First Connections website at www.arkansas.gov/dhs/ddds/FirstConn. The Lead Agency also reported to the public on the states website the performance of each local early intervention program status in regards to meeting the states targets. Part C Monitoring staff completed program determinations for all First Connections providers in the required areas as instructed in OSEP guidances documents.

OSEP Response

Required Actions

Indicator 1: Timely provision of services

Monitoring Priority: Early Intervention Services In Natural Environments

Compliance indicator: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Historical Data

Baseline Data: 2005

FFY	2005	2006	2007	2008	2009	2010	2011	2012
Target		100%	100%	100%	100%	100%	100%	100%
Data	94.90%	77.00%	88.40%	82.00%	95.00%	90.00%	91.00%	91.00%

Key: Gray – Data Prior to Baseline Yellow – Baseline

FFY 2013 - FFY 2018 Targets

FFY	2013	2014	2015	2016	2017	2018
Target	100%	100%	100%	100%	100%	100%

FFY 2013 SPP/APR Data

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2013-14 Child Count/Educational Environment Data Groups	9/24/2014	Total number of infants and toddlers with IFSPs	1,378	540

Explanation of Alternate Data

The data was pulled and analyzed for the first quarter of the current year (2014) and not the 2012 Child Count.

FFY 2013 SPP/APR Data

Number of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner	Total number of infants and toddlers with IFSPs	FFY 2012 Data*	FFY 2013 Target*	FFY 2013 Data
414	540	91.00%	100%	88.52%

Explanation of Slippage

There were slippage in this indicator due late prior authorization for some services. Some service coordinators were not able to access the web-based data system in order to enter the IFSP into the CDS. There were problems with connectivity in rural areas which also caused delays. Providers are not able to request prior authorization until the IFSP is in the CDS.

Number of documented delays attributable to exceptional family circumstances (this number will be added to the Number of infants and

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toddlers with IFSPs who receive their early intervention services on their IFSPs in a timely manner)

What is the source of the data provided for this indicator?

- State monitoring
- State database

Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).

Arkansas selected the time period of February 1- April 30, 2014 to collect data to represent reporting for the full fiscal year. (2013)

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

Data for this indicator derived from the states Comprehensive Data System (CDS). First Connections Data Unit staff utilizes the inquiry process to authenticate the information aggregated in the data system. This data is collected from all provider types. EIS providers use the CDS to report data on the infants and toddlers within their program. They also use the system to generate an electronic file for First Connections infants and toddlers. The system was developed to capture and display data that accurately reflects the status of the infant and toddlers file at any given period. Lead Agency staff are given direct access to the EIS providers electronic file and allowed to work together to assist with resolving issue surrounding the children enrolled in their program. Also, the system allows for an ideal flow of data from EIS provider to the Lead Agency. The system includes, as a part of the child's file, the start date of the IFSP, the first date of service that the child received as indicated on the IFSP. Program data was pulled, for IFSP with dates starting February 1- April 30, 2014, and forwarded to each EIS provider for verification and submission back to the Lead Agency. Because of the program's transition to the CDS, the data was selected for this time period to ensure the quality of the data. The First Connections program carefully selected the time period close the end of the year to give the EIS providers and state staff additional time to master the interrelated parts of the system. Also, to ensure the accuracy of the data; sufficient time was needed for verification of the data. The Data Manager reviewed data that was reported for this time period to data for the full year (FFY2013) and determined that it is reflective of a full year of data.

Actions required in FFY 2012 response table

None

Responses to actions required in FFY 2012 response table, not including correction of findings

N/A

Correction of Findings of Noncompliance Identified in FFY 2012

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
5	5	0	0

FFY 2012 Findings of Noncompliance Verified as Corrected

Describe how the State verified that each LEA with noncompliance is correctly implementing the regulatory requirements

Following identification of noncompliance, a provider is issued a written finding and required to correct the noncompliance within 90 days. At a subsequent review, monitoring staff review a percentage of records to ensure that all infants and toddlers receive services listed on the IFSP within 30 days of the parent consent for services at the IFSP meeting.

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Monitoring staff also review a percentage of updated records from each provider to determine if providers are initiating services of subsequent infants and toddlers in the appropriate time manner. First Connection staff performed this process according to guidance provided in OSEP Memorandum 09-02, dated October 17, 2008 (OSEP Memo 09-02), the Lead Agency determined that each provider for whom data formerly showed noncompliance has corrected the noncompliance and is correctly implementing the regulatory requirement for infants and toddlers with IFSPs to receive their services in the required time frame.

Describe how the State verified that each LEA corrected each individual case of noncompliance

Part C monitoring staff reviewed individual records of each infant or toddler for whom services were not started within 30 days of parent consent for services to ensure that children were receiving services. The review of records for FFY 2012 showed that children who had not received timely services were indeed receiving the services on the IFSP although late.

OSEP Response

The State provided targets for FFYs 2013 through 2018 for this indicator, and OSEP accepts those targets.

Because the State reported less than 100% compliance for FFY 2013, the State must report on the status of correction of noncompliance identified in FFY 2013 for this indicator. When reporting on the correction of noncompliance, the State must report, in its FFY 2014 APR, that it has verified that each EIS program or provider with noncompliance identified in FFY 2013 for this indicator: (1) is correctly implementing the specific regulatory requirements (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has corrected each individual case of noncompliance, unless the child is no longer within the jurisdiction of the EIS program or provider, consistent with OSEP Memo 09-02. In the FFY 2014 APR, the State must describe the specific actions that were taken to verify the correction.

Required Actions

Indicator 2: Services in Natural Environments

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Historical Data

Baseline Data: 2005

FFY	2005	2006	2007	2008	2009	2010	2011	2012
Target ≥		64.00%	68.00%	70.00%	72.00%	45.50%	45.75%	46.00%
Data	62.95%	52.72%	46.00%	42.00%	45.00%	38.00%	32.00%	33.00%

Key: Gray – Data Prior to Baseline Yellow – Baseline

FFY 2013 - FFY 2018 Targets

FFY	2013	2014	2015	2016	2017	2018
Target ≥	70.00%	73.00%	76.00%	79.00%	82.00%	85.00%

Targets: Description of Stakeholder Input

Lead agency staff have worked in collaboration with a task force of providers to develop resources to support programs to deliver services to infants and toddlers in the natural environment and to provide supports to families to help them support their children’s development. Also, throughout the year Lead Agency staff provided updates to the Interagency Coordination Council including reviewing program data, improvement strategies and establishing new targets for natural environments. included a review of the programs current data and improvement strategies to assist the program in the development of new targets and activities.

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2013-14 Child Count/Educational Environment Data Groups	9/24/2014	Number of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings	1,025	
SY 2013-14 Child Count/Educational Environment Data Groups	9/24/2014	Total number of infants and toddlers with IFSPs	1,378	

FFY 2013 SPP/APR Data

Number of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings	Total number of infants and toddlers with IFSPs	FFY 2012 Data*	FFY 2013 Target*	FFY 2013 Data
1,025	1,378	33.00%	70.00%	74.38%

Actions required in FFY 2012 response table

None

Responses to actions required in FFY 2012 response table

N/A

OSEP Response

The State provided targets for FFYs 2013 through 2018 for this indicator, and OSEP accepts those targets.

Required Actions

Indicator 3: Early Childhood Outcomes

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/ communication); and
- C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Historical Data

	Baseline Year	FFY	2005	2006	2007	2008	2009	2010	2011	2012
A1	2008	Target ≥					56.50%	56.75%	56.00%	
		Data				56.00%	67.00%	66.00%	70.00%	59.00%
A2	2008	Target ≥					24.50%	24.75%	25.00%	
		Data				24.00%	23.00%	25.00%	41.00%	22.00%
B1	2008	Target ≥					52.50%	52.75%	53.00%	
		Data				53.00%	65.00%	64.00%	69.00%	60.00%
B2	2008	Target ≥					20.50%	20.75%	21.00%	
		Data				20.00%	23.00%	25.00%	39.00%	21.00%
C1	2008	Target ≥					56.25%	56.50%	56.75%	
		Data				56.00%	65.00%	64.00%	69.00%	58.00%
C2	2008	Target ≥					22.50%	22.75%	23.00%	
		Data				22.00%	21.00%	26.00%	41.00%	23.00%

Key: Gray – Data Prior to Baseline Yellow – Baseline

FFY 2013 - FFY 2018 Targets

FFY	2013	2014	2015	2016	2017	2018
Target A1 ≥	60.00%	61.00%	62.00%	63.00%	64.00%	65.00%
Target A2 ≥	30.00%	31.00%	31.25%	31.50%	31.75%	32.00%
Target B1 ≥	63.00%	62.00%	62.50%	62.75%	62.75%	63.00%
Target B2 ≥	28.00%	30.00%	31.00%	33.00%	33.00%	34.00%
Target C1 ≥	60.00%	61.00%	62.75%	63.00%	63.00%	63.25%
Target C2 ≥	28.00%	30.00%	32.00%	33.00%	33.00%	34.00%

Targets: Description of Stakeholder Input

Arkansas Part C continued to maintain partnerships with stakeholders throughout the course of the 2013 reporting period. Program updates at quarterly ICC meetings provided opportunities to help members understand the APR performance indicators and the state's performance on each. The feedback and suggestions provided by the ICC on an ongoing basis enables the Lead Agency to set priorities and targets, and identify strategies to improve results for children and families.

FFY 2013 SPP/APR Data

Number of infants and toddlers with IFSPs assessed	1,690
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Does the State’s Part C eligibility criteria include infants and toddlers who are at risk of having substantial developmental delays (or “at-risk infants and toddlers”) under IDEA section 632(5)(B)(i)? No

Outcome A: Positive social-emotional skills (including social relationships)

	Number of Children
a. Infants and toddlers who did not improve functioning	60
b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	406
c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	675
d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers	321
e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers	228

	Numerator	Denominator	FFY 2012 Data*	FFY 2013 Target*	FFY 2013 Data
A1. Of those children who entered or exited the program below age expectations in Outcome A, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program $(c+d)/(a+b+c+d)$.	996	1,462	59.00%	60.00%	68.13%
A2. The percent of infants and toddlers who were functioning within age expectations in Outcome A by the time they turned 3 years of age or exited the program $(d+e)/(a+b+c+d+e)$.	549	1,690	22.00%	30.00%	32.49%

Outcome B. Acquisition and use of knowledge and skills (including early language/ communication)

	Number of Children
a. Infants and toddlers who did not improve functioning	71
b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	388
c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	651
d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers	348
e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers	232

	Numerator	Denominator	FFY 2012 Data*	FFY 2013 Target*	FFY 2013 Data
B1. Of those children who entered or exited the program below age expectations in Outcome B, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program $(c+d)/(a+b+c+d)$.	999	1,458	60.00%	63.00%	68.52%
B2. The percent of infants and toddlers who were functioning within age expectations in Outcome B by the time they turned 3 years of age or exited the program $(d+e)/(a+b+c+d+e)$.	580	1,690	21.00%	28.00%	34.32%

Outcome C: Use of appropriate behaviors to meet their needs

	Number of Children
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	Number of Children
a. Infants and toddlers who did not improve functioning	88
b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	401
c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	618
d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers	343
e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers	240

	Numerator	Denominator	FFY 2012 Data*	FFY 2013 Target*	FFY 2013 Data
C1. Of those children who entered or exited the program below age expectations in Outcome C, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program $(c+d)/(a+b+c+d)$.	961	1,450	58.00%	60.00%	66.28%
C2. The percent of infants and toddlers who were functioning within age expectations in Outcome C by the time they turned 3 years of age or exited the program $(d+e)/(a+b+c+d+e)$.	583	1,690	23.00%	28.00%	34.50%

Was sampling used? No

Did you use the Early Childhood Outcomes Center (ECO) Child Outcomes Summary Form (COSF)? Yes

Actions required in FFY 2012 response table

The State must report progress data and actual target data for FFY 2013 in the FFY 2013 APR.

Responses to actions required in FFY 2012 response table

OSEP Response

The State provided targets for FFYs 2013 through 2018 for this indicator, and OSEP accepts those targets.

Required Actions

Indicator 4: Family Involvement

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights;
- B. Effectively communicate their children's needs; and
- C. Help their children develop and learn.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Historical Data

	Baseline Year	FFY	2005	2006	2007	2008	2009	2010	2011	2012
A	2006	Target ≥				77.00%	78.00%	80.00%	80.25%	80.25%
		Data		59.00%	62.00%	65.10%	65.00%	67.90%	64.20%	68.00%
B	2006	Target ≥				67.00%	68.00%	70.00%	70.25%	70.25%
		Data		70.00%	67.50%	70.30%	69.00%	71.30%	67.90%	71.00%
C	2006	Target ≥				84.00%	85.00%	87.00%	87.25%	87.25%
		Data		71.00%	70.80%	72.80%	73.00%	75.90%	73.20%	75.00%

Key: Gray – Data Prior to Baseline Yellow – Baseline

FFY 2013 - FFY 2018 Targets

FFY	2013	2014	2015	2016	2017	2018
Target A ≥	80.00%	82.00%	84.00%	86.00%	88.00%	90.00%
Target B ≥	80.00%	82.00%	84.00%	86.00%	88.00%	90.00%
Target C ≥	80.00%	82.00%	84.00%	86.00%	88.00%	90.00%

Targets: Description of Stakeholder Input

Arkansas Part C program collaborated with the ICC, program stakeholders and technical assistance staff to reviewed family outcomes data and the process the state has in place to gather the information from families. Concerns regarding the number of survey responses, and an analysis of the data for family outcomes led the program to implement several changes that included a revision of the family survey, provision of additional supports to staff and EIS providers and increase awareness regarding family outcomes. Lead Agency staff reviewed First Connections family outcomes data with the Interagency Coordination Council and consulted on new targets .

FFY 2013 SPP/APR Data

Number of respondent families participating in Part C	287
A1. Number of respondent families participating in Part C who report that early intervention services have helped the family know their rights	215
A2. Number of responses to the question of whether early intervention services have helped the family know their rights	215
B1. Number of respondent families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs	232
B2. Number of responses to the question of whether early intervention services have helped the family effectively communicate their children's needs	232
C1. Number of respondent families participating in Part C who report that early intervention services have helped the family help their children develop and learn	229

C2. Number of responses to the question of whether early intervention services have helped the family help their children develop and learn	229
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	FFY 2012 Data*	FFY 2013 Target*	FFY 2013 Data
A. Percent of families participating in Part C who report that early intervention services have helped the family know their rights	68.00%	80.00%	100%
B. Percent of families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs	71.00%	80.00%	100%
C. Percent of families participating in Part C who report that early intervention services have helped the family help their children develop and learn	75.00%	80.00%	100%

Describe how the State has ensured that any response data are valid and reliable, including how the data represent the demographics of the State.

In the course of the 2013 reporting period Arkansas Part C sent out approximately 1400 surveys to parents of infants and toddlers who had an active IFSP. First Connections provided families with several ways to respond to the survey, the Lead Agency website, hard copy via mail and telephone. Data were also collected on the following demographics : county of residence, child's race and ethnicity and child's service provider. Arkansas Part C families completed and returned surveys . Survey responses were received from all 75 counties in the stateshowing representation of all by race and ethnicity categories of the population of families in Arkansas First Connections.

Was sampling used? No

Was a collection tool used? Yes

Is it a new or revised collection tool? Yes

- Yes, the data accurately represent the demographics of the State
- No, the data does not accurately represent the demographics of the State

Submitted collection tool: [Revised Family Survey](#)

Actions required in FFY 2012 response table

None

Responses to actions required in FFY 2012 response table, not including correction of findings

N/A

OSEP Response

The State provided targets for FFYs 2013 through 2018 for this indicator, and OSEP accepts those targets.

Required Actions

Indicator 5: Child Find (Birth to One)

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 1 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2005	2006	2007	2008	2009	2010	2011	2012
Target ≥		0.42%	0.45%	0.66%	0.55%	0.55%	0.57%	0.58%
Data	0.39%	1.02%	0.72%	0.66%	0.61%	0.96%	0.85%	1.01%

Key: Gray – Data Prior to Baseline Yellow – Baseline

FFY 2013 - FFY 2018 Targets

FFY	2013	2014	2015	2016	2017	2018
Target ≥	0.45%	0.45%	0.47%	0.48%	0.49%	0.50%

Targets: Description of Stakeholder Input

First Connections staff and agency associates collaborated with pediatricians offices, child care providers, and family members to design pamphlets, brochures and other promotional items to assist in reaching parents, child care providers, physicians, and other referral sources. Part C staff reviewed Arkansas birth to one child find data with the states Interagency Coordination Council discuss current activities and establish new targets.

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2013-14 Child Count/Educational Environment Data Groups	9/24/2014	Number of infants and toddlers birth to 1 with IFSPs	169	null
U.S. Census Annual State Resident Population Estimates April 1, 2010 to July 1, 2013	12/16/2014	Population of infants and toddlers birth to 1	38,310	null

FFY 2013 SPP/APR Data

Number of infants and toddlers birth to 1 with IFSPs	Population of infants and toddlers birth to 1	FFY 2012 Data*	FFY 2013 Target*	FFY 2013 Data
169	38,310	1.01%	0.45%	0.44%

Explanation of Slippage

For explanation of slippage, please refer to introduction section on general supervision.

Actions required in FFY 2012 response table

None

Responses to actions required in FFY 2012 response table

OSEP Response

The State provided targets for FFYs 2013 through 2018 for this indicator, and OSEP accepts those targets.

Required Actions

Indicator 6: Child Find (Birth to Three)

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 3 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2005	2006	2007	2008	2009	2010	2011	2012
Target ≥		2.25%	2.78%	2.30%	2.35%	2.37%	2.37%	2.37%
Data	2.25%	2.75%	2.34%	2.33%	2.19%	2.75%	2.73%	2.72%

Key: Gray – Data Prior to Baseline Yellow – Baseline

FFY 2013 - FFY 2018 Targets

FFY	2013	2014	2015	2016	2017	2018
Target ≥	1.20%	1.30%	1.40%	1.50%	1.80%	1.90%

Targets: Description of Stakeholder Input

First Connections staff and agency associates collaborated with pediatricians offices, child care providers, and family members to design pamphlets, brochures and other promotional items to assist in reaching parents, child care providers, physicians, and other referral sources. Part C staff reviewed Arkansas birth to three child find data with the states Interagency Coordination Council discuss current activities and establish new targets.

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2013-14 Child Count/Educational Environment Data Groups	9/24/2014	Number of infants and toddlers birth to 3 with IFSPs	1,378	
U.S. Census Annual State Resident Population Estimates April 1, 2010 to July 1, 2013	12/16/2014	Population of infants and toddlers birth to 3	115,847	

FFY 2013 SPP/APR Data

Number of infants and toddlers birth to 3 with IFSPs	Population of infants and toddlers birth to 3	FFY 2012 Data*	FFY 2013 Target*	FFY 2013 Data
1,378	115,847	2.72%	1.20%	1.19%

Explanation of Slippage

For explanation of slippage, please refer to introduction section on general supervision.

Provide additional information about this indicator (optional)

The numbers are lower due to our implementation of new reorganization that was implemented on July 1, 2013. This Reorganization includes providers who serve children in the

Developmental Day Treatment Centers opting out of First Connections.

Actions required in FFY 2012 response table

None

Responses to actions required in FFY 2012 response table

OSEP Response

The State provided targets for FFYs 2013 through 2018 for this indicator, and OSEP accepts those targets.

Required Actions

Indicator 7: 45-day timeline

Monitoring Priority: Effective General Supervision Part C / Child Find

Compliance indicator: Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2005	2006	2007	2008	2009	2010	2011	2012
Target		100%	100%	100%	100%	100%	100%	100%
Data	75.80%	84.00%	88.00%	82.00%	99.00%	94.00%	92.00%	93.00%

Key: Gray – Data Prior to Baseline Yellow – Baseline

FFY 2013 - FFY 2018 Targets

FFY	2013	2014	2015	2016	2017	2018
Target	100%	100%	100%	100%	100%	100%

FFY 2013 SPP/APR Data

Number of eligible infants and toddlers with IFSPs for whom an initial evaluation and assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline	Number of eligible infants and toddlers evaluated and assessed for whom an initial IFSP meeting was required to be conducted	FFY 2012 Data*	FFY 2013 Target*	FFY 2013 Data
317	429	93.00%	100%	88.11%

Number of documented delays attributable to exceptional family circumstances (this number will be added to the Number of eligible infants and toddlers with IFSPs for whom an initial evaluation and assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline)	61
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Explanation of Slippage

With the reorganization, we lost approximately 25 providers. In a few areas of the state, this created a shortage of providers available to conduct evaluations and there was a delay in getting the evaluations completed. All children did receive their evaluation and initial IFSPs although late.

What is the source of the data provided for this indicator?

- State monitoring
- State database

Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).

Arkansas Part C selected the time period from January 1- March 30, 2014, to collect data to represent reporting for the full fiscal year. (2013)

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

Arkansas' Data Unit staff used information collected from the Comprehensive Data System to accurately report the percentage of infants and toddlers receiving evaluations, assessments and IFSP meetings within a timely manner. EIS providers use the CDS to report data on the infants and toddlers within their program. The state data system was designed to capture and display data that reflects the status of the infant and toddlers early intervention file. As a part of the child's file in CDS, the following items are included ; start date of the IFSP and the first date of service as indicated on the child's IFSP. First Connection staff are given access to the EIS providers electronic file and allowed to work together to aid in resolving issues surrounding the children they serve. This data is collected from License Community Programs, Independent Service providers and state service coordinators Program data was pulled, for IFSP with dates starting January 1- March 30, 2014, and forwarded to each EIS provider for verification and returned .The Lead Agency carefully selected this time period in order to capture the same children as reported in Indicator 1. This time period was used to allow staff to examine if the children who received their services in a timely manner also had an evaluation and assessment and IFSP developed in 45 days. Also, to ensure the accuracy of the data; sufficient time was needed for verification of the data. The Data Manager reviewed data that was reported for this time period to data for the full year (FFY2013) and determined that it is reflective of a full year of data.

Actions required in FFY 2012 response table

None

Responses to actions required in FFY 2012 response table, **not including correction of findings**

Correction of Findings of Noncompliance Identified in FFY 2012

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
1	1	0	0

FFY 2012 Findings of Noncompliance Verified as Corrected

Describe how the State verified that each LEA with noncompliance is correctly implementing the regulatory requirements

Following the identification of noncompliance, the EIS provider is issued a written finding and required to correct the noncompliance within 90 days . At a subsequent review, monitoring staff review a percentage of records to ensure that all infants and toddlers receive evaluations, assessments and IFSP meetings in a timely manner.

Arkansas monitoring staff also review a percentage of updated records from each provider to determine if providers are initiating services of subsequent infants and toddlers in the appropriate time. First Connection staff completed this process in accordance with the guidance provided in OSEP Memorandum 09-02, dated October 17, 2008 (OSEP Memo 09-02), the Lead Agency determined that each EIS provider for whom data formerly showed noncompliance has corrected the noncompliance and is correctly implementing the regulatory requirement for infants and toddlers receive evaluations, assessments and IFSP meetings within the required time frame.

Describe how the State verified that each LEA corrected each individual case of noncompliance

Part C monitoring staff examined individual child records of each infant or toddler for whom did not have an evaluation, assessment and IFSP meeting within 45 days. The review of records for FFY 2012 indicated that children who had not received evaluations ,assessments and timely IFSP meetings were indeed conducted, although late.

OSEP Response

The State provided targets for FFYs 2013 through 2018 for this indicator, and OSEP accepts those targets.

Because the State reported less than 100% compliance for FFY 2013, the State must report on the status of correction of noncompliance identified in FFY 2013 for this indicator. When reporting on the correction of noncompliance, the State must report, in its FFY 2014 APR, that it has verified that each EIS program or provider with noncompliance identified in FFY 2013 for this indicator: (1) is correctly implementing the specific regulatory requirements (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has corrected each individual case of noncompliance, unless the child is no longer within the jurisdiction of the EIS program or provider, consistent with OSEP Memo 09-02. In the FFY 2014 APR, the State must describe the specific actions that were taken to verify the correction.

Required Actions

Indicator 8A: Early Childhood Transition

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2005	2006	2007	2008	2009	2010	2011	2012
Target		100%	100%	100%	100%	100%	100%	100%
Data	54.00%	99.00%	55.00%	88.00%	96.00%	91.00%	90.00%	96.00%

Key: Gray – Data Prior to Baseline Yellow – Baseline

FFY 2013 - FFY 2018 Targets

FFY	2013	2014	2015	2016	2017	2018
Target	100%	100%	100%	100%	100%	100%

FFY 2013 SPP/APR Data

Explanation of Alternate Data

Data include only those toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday.

- Yes
- No

Number of children exiting Part C who have an IFSP with transition steps and services	Number of toddlers with disabilities exiting Part C	FFY 2012 Data*	FFY 2013 Target*	FFY 2013 Data
378	444	96.00%	100%	85.14%

Number of documented delays attributable to exceptional family circumstances (this number will be added to the Number of children exiting Part C who have an IFSP with transition steps and services)	0
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Explanation of Slippage

Some of our Providers still do not understand the requirements for transition. During visits and training, providers indicated

that they are following steps but they are not entering them into the IFSP. However, the state continues to make efforts to bring this indicator to the 100 percent target through policy clarification, training and technical assistance with the current providers. The Lead Agency also collaborates with Arkansas Department of Education to fortify the transition process and help providers understand the process and the requirements.

The state uses the new Arkansas' transitions guide "When I'm Three Where Will I Be" that provides an extended summary of the transition process and procedures. We have developed information packets which outline procedures for late referrals and supports providers in individualizing transition plans. During the quarterly transition training class the instructor reviews federal requirements for transition, including transition steps, LEA notifications and timely transition conferences. This information is reinforced through Technical assistance visits and phone calls to offer strategies to help prepare children for new environments and learning experiences and to make the process meaningful and useful for their families.

The state analyzes the data to identify specific providers who are struggling with transition and develop strategies to provide that support. Data Unit staff provide on-site technical assistance with Providers and state service coordinators to ensure they understand how to collect and report transition data. Part C Quality Assurance staff and Professional Development staff also assist with on-site technical assistance with the Data staff to provide guidance around the transition and to help them problem solve difficulties in scheduling, inviting families and explaining the importance of planning for when children leave First Connections. Follow-up phone calls and emails offer further support.

What is the source of the data provided for this indicator?

- State monitoring
- State database

Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).

Arkansas Part C selected the time period from July 1, 2013 – June 30, 2014 to collect data to represent reporting for the full fiscal year. (2013)

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

Data for indicator 8 was obtained from the Comprehensive Data System (CDS). Part C Data Unit staff uses the inquiry process to verify the information collected in the states data system. Data is gathered from all provider types. EIS providers use the CDS to report data on the infants and toddlers within their program. The system is also used to generate an electronic file for all First Connections infants and toddlers. Adminstrative staff developed the data system to capture and display data that reflects the status of the infant and toddlers file. Data Unit staff are given direct access to the EIS providers electronic record that allows agency staff to work in conjunction with the EIS provider to resolve data issues. The system includes ,as a part of the childs file, steps and services listed on the IFSP.

Actions required in FFY 2012 response table

None

Responses to actions required in FFY 2012 response table, not including correction of findings

Correction of Findings of Noncompliance Identified in FFY 2012

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
3	3	0	0

FFY 2012 Findings of Noncompliance Verified as Corrected

Describe how the State verified that each LEA with noncompliance is correctly implementing the regulatory requirements

First Connections Quality Assurance staff issued notification letters to EIS providers informing them of their non-compliance. The letter advised providers of their scores related to transition steps. Provider notification cited the federal regulations and informed them that they have to ensure that all children receive timely transition planning and that they must correct all noncompliance. Arkansas’ procedures give the providers 90 days to correct identified noncompliance, however, correction must be made no later than one year from the date of notification to the early intervention provider.

Describe how the State verified that each LEA corrected each individual case of noncompliance

The Lead Agency’s process of verifying correction of noncompliance, involved an analysis of files for toddlers who did not receive timely transition planning and were not in compliance with requirements to verify that the children received transition services (steps) although late, unless that child is no longer within the jurisdiction of the program. Administrative staff confirmed that all noncompliance was corrected within one year of notification.

OSEP Response

The State provided targets for FFYs 2013 through 2018 for this indicator, and OSEP accepts those targets.

Because the State reported less than 100% compliance for FFY 2013, the State must report on the status of correction of noncompliance identified in FFY 2013 for this indicator. When reporting on the correction of noncompliance, the State must report, in its FFY 2014 APR, that it has verified that each EIS program or provider with noncompliance identified in FFY 2013 for this indicator: (1) is correctly implementing the specific regulatory requirements (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has corrected each individual case of noncompliance, unless the child is no longer within the jurisdiction of the EIS program or provider, consistent with OSEP Memo 09-02. In the FFY 2014 APR, the State must describe the specific actions that were taken to verify the correction.

Required Actions

Indicator 8B: Early Childhood Transition

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2005	2006	2007	2008	2009	2010	2011	2012
Target		100%	100%	100%	100%	100%	100%	100%
Data	79.00%	96.40%	89.00%	88.00%	95.00%	96.00%	91.00%	97.00%

Key: Gray – Data Prior to Baseline Yellow – Baseline

FFY 2013 - FFY 2018 Targets

FFY	2013	2014	2015	2016	2017	2018
Target	100%	100%	100%	100%	100%	100%

FFY 2013 SPP/APR Data

Data include notification to both the SEA and LEA

- Yes
- No

Number of toddlers with disabilities exiting Part C where notification to the SEA and LEA occurred at least 90 days prior to their third birthday for toddlers potentially eligible for Part B preschool services	Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	FFY 2012 Data*	FFY 2013 Target*	FFY 2013 Data
389	444	97.00%	100%	87.61%

Number of parents who opted out (this number will be subtracted from the number of toddlers with disabilities exiting Part C who were potentially eligible for Part B when calculating the FFY 2013 Data)	0
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Explanation of Slippage

The state continues to make efforts to bring this indicator to the 100 percent target through policy clarification, training and technical assistance. The Lead Agency also collaborates with Arkansas Department of Education to fortify the transition process and help providers understand the process and the requirements.

The data for this indicator includes both the SEA and LEA notification. Notification to the SEA occurred in 100% of the toddlers exiting Part C, however when we include the data for LEA notification, we did not meet the target for the indicator. Since local programs are having difficulty making the notification, the state plans to change procedures so that the LEA notification comes from the state Part C office.

The state uses the new Arkansas' transitions guide "When I'm Three Where Will I Be" that provides an extended summary of the transition process and procedures. We have developed information packets which outline procedures for late referrals and supports providers in individualizing transition plans. Information about notification to the local education agency is included in required training events. During the quarterly transition training class the instructor reviews federal requirements for transition, including transition steps, LEA notifications and timely transition conferences. This information is reinforced through Technical assistance visits and phone calls to offer strategies to help prepare children for new environments and learning experiences and to make the process meaningful and useful for their families.

The state analyzes the data to identify specific providers who are struggling with transition and develop strategies to provide that support. Data Unit staff provide on-site technical assistance with Providers and state service coordinators to ensure they understand how to collect and report transition data. Part C Quality Assurance staff and Professional Development staff also assist with on-site technical assistance with the Data staff to provide guidance around the transition and to help them problem solve difficulties in scheduling, inviting families and explaining the importance of planning for when children leave First Connections. Follow-up phone calls and emails offer further support.

Describe the method used to collect these data

The state used the State Data System to collect this data. Part C selected the time period from July 1, 2013 to June 30, 2014 to reflect reporting for the full fiscal year. (2013)

Do you have a written opt-out policy? No

Actions required in FFY 2012 response table

None

Responses to actions required in FFY 2012 response table, **not including correction of findings**

Correction of Findings of Noncompliance Identified in FFY 2012

FFY 2013 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
1	1	0	0

FFY 2012 Findings of Noncompliance Verified as Corrected

Describe how the State verified that each LEA with noncompliance is correctly implementing the regulatory requirements

Arkansas' Quality Assurance staff issued letters of notification to early intervention providers notifying them of their non-compliance. The letter informed providers of their scores related to SEA/LEA notifications. Provider notification cited the federal regulations and instructed them that they have to ensure that all children receive timely transition planning and that they must correct all noncompliance. Arkansas' procedures give the providers 90 days to correct identified noncompliance, however, correction must be made no later than one year from the date of notification to the early intervention provider.

Describe how the State verified that each LEA corrected each individual case of noncompliance

First Connections process of verifying correction of noncompliance, involved an analysis of files for toddlers who did not receive timely transition planning and were not in compliance with requirements to verify that the children received transition services (SEA/LEA notification) although late, unless that child is no longer within the jurisdiction of the program. Administrative staff confirmed that all noncompliance was corrected within one year of notification.

OSEP Response

The State provided targets for FFYs 2013 through 2018 for this indicator, and OSEP accepts those targets.

Because the State reported less than 100% compliance for FFY 2013, the State must report on the status of correction of noncompliance identified in FFY 2013 for this indicator. When reporting on the correction of noncompliance, the State must report, in its FFY 2014 APR, that it has verified that each EIS program or provider with noncompliance identified in FFY 2013 for this indicator: (1) is correctly implementing the specific regulatory requirements (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has corrected each individual case of noncompliance, unless the child is no longer within the jurisdiction of the EIS program or provider, consistent with OSEP Memo 09-02. In the FFY 2014 APR, the State must describe the specific actions that were taken to verify the correction.

Required Actions

Indicator 8C: Early Childhood Transition

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2005	2006	2007	2008	2009	2010	2011	2012
Target	100%	100%	100%	100%	100%	100%	100%	100%
Data	87.00%	44.00%	55.00%	57.00%	86.00%	87.00%	76.00%	87.00%

Key: Gray – Data Prior to Baseline Yellow – Baseline

FFY 2013 - FFY 2018 Targets

FFY	2013	2014	2015	2016	2017	2018
Target	100%	100%	100%	100%	100%	100%

FFY 2013 SPP/APR Data

Data reflect only those toddlers for whom the Lead Agency has conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services

- Yes
- No

Number of toddlers with disabilities exiting Part C where the transition conference occurred at least 90 days, and at the discretion of all parties at least nine months prior to the toddler's third birthday for toddlers potentially eligible for Part B	Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	FFY 2012 Data*	FFY 2013 Target*	FFY 2013 Data
317	444	87.00%	100%	86.28%

Number of toddlers for whom the parent did not provide approval for the transition conference (this number will be subtracted from the number of toddlers with disabilities exiting Part C who were potentially eligible for Part B when calculating the FFY 2013 Data)	14
Number of documented delays attributable to exceptional family circumstances (this number will be added to the Number of toddlers with disabilities exiting Part C where the transition conference occurred at least 90 days, and at the discretion of all parties at least nine months prior to the toddler's third birthday for toddlers potentially eligible for Part B)	54

What is the source of the data provided for this indicator?

- State monitoring
- State database that includes data for the entire reporting year

Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).

Part C selected the time period from July 1, 2013 to June 30, 2014 to reflect reporting for the full fiscal year. (2013)

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

Arkansas First Connections collected data for indicator 8 from the Comprehensive Data System (CDS). The Data Unit staff used the inquiry process to verify the information gathered in the states data base. APR data was collected from all provider types. EIS providers uses the states system to report data on the infants and toddlers within their program that they serve. The Comprehensive Data System was designed to capture and display data that reflects the status of the infant and toddlers early intervention file. Data Unit staff are given direct access to the EIS providers electronic record that allows agency staff to work closely with the EIS provider to assist in providing guidance and clarification regarding data issues. The system includes ,as a part of the childs file, the date of the childs transition conference as required in Part C of IDEA.

Actions required in FFY 2012 response table

None

Responses to actions required in FFY 2012 response table, not including correction of findings

Correction of Findings of Noncompliance Identified in FFY 2012

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
2	2	0	0

FFY 2012 Findings of Noncompliance Verified as Corrected

Describe how the State verified that each LEA with noncompliance is correctly implementing the regulatory requirements

Quality Assurance staff sent notification letters to each early intervention service providers informing them of their non-compliance. The letter advised providers of their status as it related to timely transition conferences. Provider notification cited the federal regulations and informed them that they have to ensure that all children receive timely transition planning and that they must correct all noncompliance. Arkansas' procedures give the providers 90 days to correct identified noncompliance, however, correction must be made no later than one year from the date of notification to the early intervention provider

Describe how the State verified that each LEA corrected each individual case of noncompliance

Arkansas Part C process of verifying correction of noncompliance, involved an examination of files for toddlers who did not receive timely transition planning and were not in compliance with requirements to verify that the children received transition services (transition conference) although late, unless that child is no longer within the jurisdiction of the program. Administrative staff confirmed that all noncompliance was corrected within one year of notification.

OSEP Response

The State provided targets for FFYs 2013 through 2018 for this indicator, and OSEP accepts those targets.

Because the State reported less than 100% compliance for FFY 2013, the State must report on the status of correction of noncompliance identified in FFY 2013 for this indicator. When reporting on the correction of noncompliance, the State must report, in its FFY 2014 APR, that it has verified that each EIS program or provider with noncompliance identified in FFY 2013 for this indicator: (1) is correctly implementing the specific regulatory requirements (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has corrected each individual case of noncompliance, unless the child is no longer within the jurisdiction of the EIS program or provider, consistent with OSEP Memo 09-02. In the FFY 2014 APR, the State must describe the specific actions that were taken to verify the correction.

Required Actions

Indicator 9: Resolution Sessions

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted).

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data:

FFY	2005	2006	2007	2008	2009	2010	2011	2012
Target ≥								
Data								

Key: Gray – Data Prior to Baseline Yellow – Baseline

FFY 2013 - FFY 2018 Targets

FFY	2013	2014	2015	2016	2017	2018
Target ≥						

Targets: Description of Stakeholder Input

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
EMAPS IDEA Part B Dispute Resolution Survey; Section C: Due Process Complaints	11/5/2014	3.1 Number of resolution sessions	n	null
EMAPS IDEA Part B Dispute Resolution Survey; Section C: Due Process Complaints	11/5/2014	3.1(a) Number resolution sessions resolved through settlement agreements	n	null

FFY 2013 SPP/APR Data

3.1 Number of resolution sessions	3.1(a) Number resolution sessions resolved through settlement agreements	FFY 2012 Data*	FFY 2013 Target*	FFY 2013 Data
0	0			

Actions required in FFY 2012 response table

None

Responses to actions required in FFY 2012 response table

OSEP Response

This Indicator is not applicable for the State.

Required Actions

Indicator 10: Mediation

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of mediations held that resulted in mediation agreements.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2005	2006	2007	2008	2009	2010	2011	2012
Target ≥			100%					
Data							100%	

Key: Gray – Data Prior to Baseline Yellow – Baseline

FFY 2013 - FFY 2018 Targets

FFY	2013	2014	2015	2016	2017	2018
Target ≥	100%	100%	100%	100%	100%	100%

Targets: Description of Stakeholder Input

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
EMAPS IDEA Part B Dispute Resolution Survey; Section B: Mediation Requests	11/5/2014	2.1.a.i Mediations agreements related to due process complaints	n	n
EMAPS IDEA Part B Dispute Resolution Survey; Section B: Mediation Requests	11/5/2014	2.1.b.i Mediations agreements not related to due process complaints	n	n
EMAPS IDEA Part B Dispute Resolution Survey; Section B: Mediation Requests	11/5/2014	2.1 Mediations held	n	n

Explanation of Alternate Data

FFY 2013 SPP/APR Data

2.1.a.i Mediations agreements related to due process complaints	2.1.b.i Mediations agreements not related to due process complaints	2.1 Mediations held	FFY 2012 Data*	FFY 2013 Target*	FFY 2013 Data
0	0	0		100.00%	

Actions required in FFY 2012 response table

None

Responses to actions required in FFY 2012 response table

OSEP Response

The State reported fewer than ten mediations held in FFY 2013. The State is not required to provide targets until any fiscal year in which ten or more mediations were held.

Required Actions

Indicator 11: State Systemic Improvement Plan

Monitoring Priority: General Supervision

Results indicator: The State's SPP/APR includes a State Systemic Improvement Plan (SSIP) that meets the requirements set forth for this indicator.

Baseline Data

FFY	2013
Data	

FFY 2014 - FFY 2018 Targets

FFY	2014	2015	2016	2017	2018
Target					

Description of Measure

Targets: Description of Stakeholder Input

Data Analysis

A description of how the State identified and analyzed key data, including data from SPP/APR indicators, 618 data collections, and other available data as applicable, to: (1) select the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families, and (2) identify root causes contributing to low performance. The description must include information about how the data were disaggregated by multiple variables (e.g., EIS program and/or EIS provider, geographic region, race/ethnicity, socioeconomic status, gender, etc.) As part of its data analysis, the State should also consider compliance data and whether those data present potential barriers to improvement. In addition, if the State identifies any concerns about the quality of the data, the description must include how the State will address these concerns. Finally, if additional data are needed, the description should include the methods and timelines to collect and analyze the additional data.

Analysis of State Infrastructure to Support Improvement and Build Capacity

A description of how the State analyzed the capacity of its current infrastructure to support improvement and build capacity in EIS programs and/or EIS providers to implement, scale up, and sustain the use of evidence-based practices to improve results for infants and toddlers with disabilities and their families. State systems that make up its infrastructure include, at a minimum: governance, fiscal, quality standards, professional development, data, technical assistance, and accountability/monitoring. The description must include current strengths of the systems, the extent the systems are coordinated, and areas for improvement of functioning within and across the systems. The State must also identify current State-level improvement plans and other early learning initiatives, such as Race to the Top-Early Learning Challenge and the Home Visiting program and describe the extent that these new initiatives are aligned, and how they are, or could be, integrated with, the SSIP. Finally, the State should identify representatives (e.g., offices, agencies, positions, individuals, and other stakeholders) that were involved in developing Phase I of the SSIP and that will be involved in developing and implementing Phase II of the SSIP.

State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and Their Families

FFY 2013 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

A statement of the result(s) the State intends to achieve through the implementation of the SSIP. The State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families must be aligned to an SPP/APR indicator or a component of an SPP/APR indicator. The State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families must be clearly based on the Data and State Infrastructure Analyses and must be a child- or family-level outcome in contrast to a process outcome. The State may select a single result (e.g., increase the rate of growth in infants and toddlers demonstrating positive social-emotional skills) or a cluster of related results (e.g., increase the percentage reported under child outcome B under Indicator 3 of the SPP/APR (knowledge and skills) and increase the percentage trend reported for families under Indicator 4 (helping their child develop and learn)).

Statement

Description

Selection of Coherent Improvement Strategies

An explanation of how the improvement strategies were selected, and why they are sound, logical and aligned, and will lead to a measurable improvement in the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families. The improvement strategies should include the strategies, identified through the Data and State Infrastructure Analyses, that are needed to improve the State infrastructure and to support EIS program and/or EIS provider implementation of evidence-based practices to improve the State-identified result(s) for infants and toddlers with disabilities and their families. The State must describe how implementation of the improvement strategies will address identified root causes for low performance and ultimately build EIS program and/or EIS provider capacity to achieve the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families.

Theory of Action

A graphic illustration that shows the rationale of how implementing the coherent set of improvement strategies selected will increase the State's capacity to lead meaningful change in EIS programs and/or EIS providers, and achieve improvement in the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families.

Submitted Theory of Action: No Theory of Action Submitted



Provide a description of the provided graphic illustration (optional)

OSEP Response

Required Actions

Certify and Submit your SPP/APR

I certify that I am the Director of the State's Lead Agency under Part C of the IDEA, or his or her designee, and that the State's submission of its IDEA Part C State Performance Plan/Annual Performance Report is accurate.

Selected: Designated by the Lead Agency Director to certify

Name and title of the individual certifying the accuracy of the State's submission of its IDEA Part C State Performance Plan/Annual Performance Report.

Name: Tracy Turner

Title: Part C Coordinator

Email: tracy.turner@dhs.arkanas.gov

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